

10/31/01

12-05-01

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jc962 U.S. PTO

Practitioner's Docket No. RPS920010068US1

PATENT

j1036 U.S. PTO
10/002752
10/31/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Richard H. Harris

For (title): **SECURE SMART CARD**

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
37 C.F.R. Section 1.8(a) 37 C.F.R. Section 1.10*

☐ with sufficient postage as first class mail. ☒ as "Express Mail Post Office to Address"
Mailing Label No. EL 888550506 US

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (783) _____

Date: 10-31-2001

Amirah Scarborough
Signature
Amirah Scarborough
(type or print name of person certifying)

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

8 Page(s) of Specification

7 Page(s) of Claims

3 Sheet(s) of Drawing(s)--Formal

B. Other Papers Enclosed

2 Page(s) of declaration and power of attorney

1 Page(s) of abstract

3. Additional Papers Enclosed

Information Disclosure Statement (37 C.F.R. 1.98)

Form PTO-1449 (PTO/SB/08A and 08B)

4. Assignment

An assignment of the invention to **International Business Machines Corporation; Armonk, NY** is attached. A separate "RECORDATION COVER SHEET" is also attached.

5. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED

| Claims | Number Filed | Basic Fee Allowance | Number Extra | Rate | Basic Fee 37 CFR 1.16(a) \$740.00 |
|----------------------------------|---------------------|----------------------------|---------------------|----------------|--|
| Total Claims | 16 | 20 | 0 | \$18.00 | \$0.00 |
| Independent Claims | 6 | 3 | 3 | \$84.00 | \$252.00 |
| Multiple Dependent Claims | | | | | \$0.00 |
| Filing Fee Calculation | | | | | \$992.00 |

6. Fee Payment Being Made at This Time

Enclosed

| | |
|---|-------------------|
| Filing Fee | \$992.00 |
| Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) See attached, "RECORDATION COVER SHEET") | \$40.00 |
| Total Fees Enclosed | \$1,032.00 |

7. Method of Payment of Fees

Charge Account No. **50-0563** in the amount of **\$1,032.00**.
A duplicate of this transmittal is attached.

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-0563.

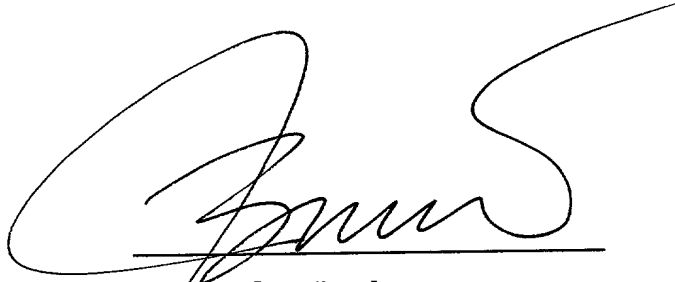
37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

9. Instructions as to Overpayment

Credit Account No. 50-0563.

Date: 10/31/01



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